



## JC87 Rec'd PCT/PTO 2 8 FEB 2007

PATENT
Attorney Docket No.: 27656/37821

10/018437

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zangemeister-Wittke et al.	) I hereby certify that this paper is being
	) deposited with the United States Postal
	) Service as first class mail, postage
Serial No.: 10/018,437	) prepaid, in an envelope addressed to:
, and the second	) Commissioner for Patents,
	) Washington, DC 20231 on this date:
Filed: October 30, 2001	)
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	) Folymory 20, 2002
The control of the product of	) February 20, 2002
For: Oligonucleotide Derivatives	)
Directed Against Human bcl-xL	) .
and Human bcl-z mRNA	3 11/2 24
Group Art Unit: TBD	) Jeffrey S. Sharp
Group Titt Offic. 1DD	) Registration No. 31,879
z : wpp	
Examiner: TBD	) Attorney for Applicants
	)
	)
	)
	j

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with 37 C.F.R. §1.97 and the continuing duty of disclosure under 37 C.F.R. §1.56, the applicants call to the attention of the examiner the enclosed publications, as listed on the attached Form PTO-1449, which may be considered material to the examination of the above-identified patent application.

Documents A1, B1-B5 and C1-C7 were cited in the international search report of counterpart international patent appl. no. PCT/EP00/03708 (document C8 on the enclosed Form PTO-1449).

This information disclosure statement is not intended to be an admission that a search has been made, that other relevant art does not exist, or that any of the information

disclosed herein constitutes prior art under 35 U.S.C. §102 or 35 U.S.C. §103.

This information disclosure statement is submitted before receipt of a first official action on the merits and consequently should be considered by the Patent Office without payment of a fee [see 37 C.F.R. §1.97(b)]. However, should the Patent Office determine that a fee is due for consideration of this information disclosure statement and accompanying publications, the Patent Office is hereby authorized to charge said fee to Deposit Account No. 13-2855. A duplicate of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6402 (312) 474-6300

By:

Jeffrey S. Sharp

Reg. No.:31,879

February 20, 2002

SHEET 1 of 2

Form PTO-1449 (Modified)



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office Atty. Docket N 27656/37821

Serial No. 10/018,437

Applican

Zangemeister-Wittke et al.

Filing Date

Group

October 30, 2001 To be assigned

U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	5,470,974	11/28/95	Summerton et al.	544	118	

FOREIGN PATENT DOCUMENTS								
						Translation		nslation
*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Yes	No
	B1	WO 95/08350	03/30/95	PCT	A61K	48/00		
	B2	WO 95/31470	11/23/95	PCT	С07Н	21/02		
	В3	WO 98/18812	05/07/98	PCT	C07H	21/04		
	B4	WO 00/01393	01/13/00	PCT	A61K	31/70		
	B5	WO 00/20432	04/13/00	PCT	C07H	21/04		

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
C1	Gautschi et al., "Potent Anti-tumor Activity of a BCL-2/BCL-XL Bispecific Antisense Oligonucleotide Against Solid Tumors of Diverse Histological Origin," Proceedings of the American Association for Cancer Research Annual, 41:642 (2000) abstract # 4077.

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified) FEB 2 8 2002 **INFORMATION** URE STATEMENT

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket N 27656/37821 Serial No. 10/018,437

Applicant

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(Use several sheets if necessary)

October 30, 2001 To be assigned

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
C2	Luedtke et al., "Antisense Oligonucleotides Targeting Sequences Shared by the Bcl-2 and Bcl-xL Efficiently Downregulate Expression of Both Proteins and Induce Apoptosis of Lung Cancer Cells," Proceedings of the Annual Meeting of the American Association for Cancer Research, US, Philadelphia, 38:170 (1997) abstract #1140.
C3	Luedtke et al., "Antisense Oligonucleotides Targeting Sequences Shared by the Bcl-2 and Bcl-xL Efficiently Downregulate Expression of Both Proteins and Induce Apoptosis of Lung Cancer Cells," Proceedings of the Annual Meeting of the American Association for Cancer Research, US, Philadelphia, 39:417 (1998) abstract #2838.
C4	Obika et al., "Properties of Novel Oligonucleotide Analogues Containing an Acylic Nucleoside and a Carbamate Linkage," Bioorganic & Medical Chemistry Letters, 6:1357-1360 (1996).
C5	Zangemeister-Wittke et al., "Bcl2 Antisense Oligooxynucleotide 2009 Synergizes with Chemotherapy on Lung Cancer Cell Lines and has Antitumor Activity against Lung Cancer Xenografts," Proceedings of the American Association for Cancer Research Annual, 39:417 (1998) abstract #2839.
C6	Zangemeister-Wittke et al., "Synergistic Cytotoxicity of bcl-2 Antisense Oligodeoxynucleotides and Etoposide, Doxorubicin and Cisplatin on Small-cell Lung Cancer Cell Lines," British Journal of Cancer, 78:1035-1042 (1998).
C7	Zangemeister-Wittke et al., "Novel Approaches to the Treatment of Small-cell Lung Cancer," Cell. Mol. Life Sci., 55:1585-1598 (1999).
C8	International Search Report, International Application No.: PCT/EP00/03708, International Searching Authority/ European Patent Office.

EXAMINER	DATE CONSIDERED
l	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.